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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 18133-224 CON

First Inventor Brad Hammond, et al.

Title SYSTEM AND METHODS FOR MANAGING UNINTERRUPTIBLE
POWER SUPPLY SYSTEMS

Express Mail Label No. EV313924458US; March 22, 2004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 24]
5. Oath or Declaration [Total Pages 4]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Enter Amendment filed October 7, 2003 not entered in parent application S/N 09/559,983, Filed April 26, 2000; Petition for 3-month extension of time in parent case.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09 / 559,983; Filed April 26, 2000

Prior application information: Examiner C. Cao

Group / Art Unit: 2185

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

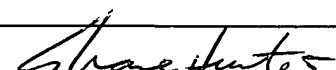
17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

30623

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name (Print/Type)	Shane H. Hunter, Esq.	Attorney Registration No.	41,858
Signature		Date	March 22, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILLED OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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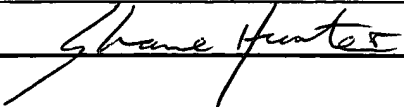
**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known**TOTAL AMOUNT OF PAYMENT** (\$) 1720.00

Application Number	N/A
Filing Date	March 22, 2004
First Named Inventor	Brad Hammond et al.
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	18133-224 CON

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 50-0311 Deposit Account Name: Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES						
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other								
FEE CALCULATION								
1. BASIC FILING FEE								
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid			
101	770	201	370	Utility filing fee	770.00			
106	385	206	165	Design filing fee				
107	510	207	255	Plant filing fee				
108	740	208	370	Reissue filing fee				
114	160	214	80	Provisional filing fee				
SUBTOTAL (1)					(\$ 770.00)			
2. EXTRA CLAIM FEES								
Total Claims	20	**	=	0	X	0	=	0
Independent Claims	3	**	=	0	X	0	=	
Multiple Dependent					X		=	0
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid			
103	18	203	9	Claims in excess of 20				
102	84	202	42	Independent claims in excess of 3				
104	280	204	140	Multiple dependent claim, if not paid				
109	84	209	42	** Reissue independent claims over original patent				
110	18	210	9	** Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2)					(\$)			
**or number previously paid, if greater; For Reissues, see above								
		3. ADDITIONAL FEES						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid			
105	130	205	65	Surcharge - late filing fee or oath				
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
139	130	139	130	Non-English specification				
147	2,520	147	2,520	For filing a request for reexamination				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
115	110	215	55	Extension for reply within first month				
116	400	216	200	Extension for reply within second month				
117	920	217	460	Extension for reply within third month	950.00			
118	1,440	218	720	Extension for reply within fourth month				
128	1,960	228	980	Extension for reply within fifth month				
119	320	219	160	Notice of Appeal				
120	320	220	160	Filing a brief in support of an appeal				
121	280	221	140	Request for oral hearing				
138	1,510	138	1,510	Petition to institute a public use proceeding				
140	110	240	55	Petition to revive - unavoidable				
141	1,280	241	640	Petition to revive - unintentional				
142	1,280	242	640	Utility issue fee (or reissue)				
143	460	243	230	Design issue fee				
144	620	244	310	Plant issue fee				
122	130	122	130	Petitions to the Commissioner				
123	50	123	50	Processing fee under 37 CFR 1.17 (q)				
126	180	126	180	Submission of Information Disclosure Stmt				
581	40	581	40	Recording each patent assignment per property (times number of properties)				
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
179	740	279	370	Request for Continued Examination (RCE)				
169	900	169	900	Request for expedited examination of a design application				
Other fee (specify) _____								
*Reduced by Basic Filing Fee Paid								
SUBTOTAL (3)					(\$ 950.00)			

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Shane H. Hunter, Esq.	Registration No. Attorney/Agent)	41,858	Telephone	617-348-1661
Signature				Date	March 22, 2004